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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Margaret A. Sullivan  
Signature

Applicant : Craig L. Ogg, et al.  
Application No. : 09/690,066  
Filed : October 16, 2000  
Title : CRYPTOGRAPHIC MODULE FOR SECURE PROCESSING OF  
VALUE-BEARING ITEMS

Grp./Div. : 2131  
Examiner : To be assigned

Docket No. : 36531/SAH/S850

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SEP 22 2004

Technology Center 2100

**INFORMATION DISCLOSURE STATEMENT**  
**37 CFR § 1.97(b)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Post Office Box 7068  
Pasadena, CA 91109-7068  
September 20, 2004

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

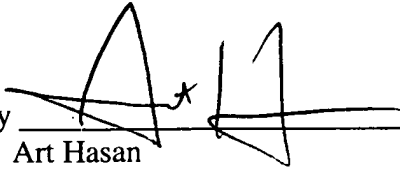
It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application.

**Application No. 09/690,066**

Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By  \_\_\_\_\_

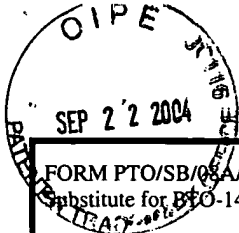
Art Hasan

Reg. No. 41,057

626/795-9900

SAH/tmw

Enclosures: PTO/SB/08A/B, w/references



FORM PTO/SB/05A/B (10-01)  
Substitute for PTO-1449A/B

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

**Attorney Docket Number**

**36531/SAH/S850**

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**Applicant(s)**

**Craig L. Ogg, et al.**

**Group Art Unit**

**2131**

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**Examiner Name**

**Unknown**

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**U.S. PATENT DOCUMENTS**

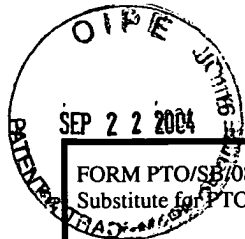
EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		4,933,849	06-12-1990	Connell et al.
		5,048,085	09-10-1991	Abraham et al.
		5,058,008	10-15-1991	Schumacher
		5,075,865	12-24-1991	Kawamura et al.
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		5,561,795	10-01-1996	Sarkar
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		5,918,234	06-29-1999	Shah et al.

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**Craig** **RECEIVED**

**Group Art Unit**

**213** **SEP 22 2004**

**Examiner Name**

**Technology Center 2100**

**U.S. PATENT DOCUMENTS**

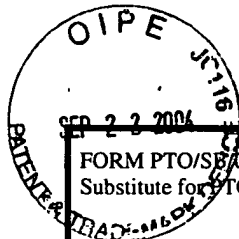
EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,930,796	07-27-1999	Pierce et al.
		5,940,383	08-17-1999	Willkie
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Craig L. O'Brien

Group Art Unit

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Examiner Name

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EXAMINER INITIALS	Cite No. <sup>1</sup>	DOCUMENT NUMBER Number - Kind Code <sup>2</sup> (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup> (✓)
		EP 0 360 225 A2	03-28-1990	Jose Pastor	
		EP 0 576 113 A2	12-29-1993	Thomas Epping et al.	
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		WO 98/13790 A1	04-02-1998	George Brookner et al.	
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		WO 00/70503 A1	11-23-2000	George M. Brookner	
		WO 01/50227 A2	07-12-2001	James M. Mattern et al.	

**OTHER DOCUMENTS**

EXAMINER INITIALS	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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